HAYES SOLOWAY

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OFFICIAL

Client-Matter Number NEC WNZ-2212

February 24, 2004

TELECOMMUNICATION INFORMATION COVER SHEET

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EXAMINER GEOFFREY S. EVANS U.S. Patent and Trademark Office

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FROM:

Norman P. Soloway

TOTAL NUMBER OF PAGES INCLUDING THIS COVER PAGE: 7

MESSAGE:

RESPONSE TO ADVISORY ACTION

Appln. Of: TANABE et al. Serial No.: 09/612,551 Filed: July 7, 2000

For:

SEMICONDUCTOR THIN FILM FORMING SYSTEM

Docket: NEC WNZ-2212

Received:

1. Response to Advisory Action (2 pgs)

2. Copy of Request for Refund mailed October 23, 2003 (2 pgs)

3. Copy of Monthly Statement of Account (1 pg)

4. Copy of Form PTO-1449 signed and dated by Examiner (1 pg)

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FEB 2 4 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. Of:

TANABE et al.

Serial No.:

09/612,551

Filed:

July 7, 2000

For:

SEMICONDUCTOR THIN FILM FORMING SYSTEM

Group:

1725

Examiner:

GEOFFREY S. EVANS

DOCKET: NEC WNZ-2212

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO ADVISORY ACTION

Dear Sir:

The Advisory Action mailed February 18, 2004 advises that the Information Disclosure Statement filed November 7, 2003 failed to comply with 37 CFR 1.98(a)(1) in that it had no listing of the information submitted for consideration. There was no Information Disclosure Statement filed November 7, 2003. Actually, it appears the Examiner is referring to a Supplemental Information Disclosure Statement that was submitted for filing on June 19, 2003, and then subsequently included as an attachment to Applicants' Request for Refund mailed to Mail Stop 16 on October 23, 2003, not as a submission in and of itself. A copy of the Request for Refund mailed October 23, 2003, which lists the documents attached thereto, is enclosed for clarification.

HAYES SOLOWAY P.C. 130 W. CUSHING ST. TUCSON, AZ 85701 TEL.:520.882.7623 FAX. 520.882.7643

175 CANAL STREET
MANCHESTER, NH 03101
TEL. 603.668,1400
FAX, 603.668,8567

Serial No. 09/612,551 Docket No. NEC WNZ-2212 Response to Advisory Action

Moreover, the Examiner signed (on September 16, 2003) and returned a copy of the Form PTO-1449 that was submitted with the Supplemental Information Disclosure Statement dated June 19, 2003 (copy enclosed).

In view of the foregoing and the enclosed, Applicants respectfully submit that the supporting documents attached to the Request for Refund mailed October 23, 2003 were mistakenly viewed by the Examiner as being independent of the Request for Refund, and that the Examiner has considered and initialed all Form PTO-1449s submitted with the Information Disclosure Statements filed to date in this matter.

Respectfully submitted

Norman P. Soloway Attorney for Applicants

Reg. No. 24,315

CERTIFICATE OF TRANSMISSION VIA FACSIMILE

I hereby certify that this correspondence is being sent via facsimile to EXAMINER GEOFFREY S. EVANS of the United States Patent and Trademark Office at facsimile number (703) 872-9306, on <u>Fibruary 2Y</u>, 2004 from Tucson, Arizona.

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14:49

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Feb 24 '04

In re Appln. Of:

TANABE et al.

Serial No.:

09/612,551

Filed:

July 7, 2000

For:

SEMICONDUCTOR THIN FILM FORMING SYSTEM

Group:

1725

Examiner:

GEOFFREY S. EVANS

DOCKET: NEC WNZ-2212

MAIL STOP 16

Director of the US Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

Dear Sir:

This is a request for a refund with respect to the charge of \$180.00 to Deposit Account No. 08-1391 shown on the statement dated June 30, 2003 for the above-identified Patent Application. A copy of the monthly statement in which the error occurs is enclosed.

A Supplemental Information Disclosure Statement was submitted to the Patent Office, together with a Statement under 37 CFR 1.97(e) on June 19, 2003, disclosing a Japanese Office Action dated March 19, 2003 (see attached copies of the Supplemental IDS, Statement under 37 CFR 1.97(e), Japanese Office Action and stamped postcard). Thus, the IDS was timely filed, and no fee was necessary.

Please credit Deposit Account No. 08-1391 in the amount

Respectfully submitted

Norman P. Soloway Attorney for Applicants

Reg. No. 24,315

AYES SOLOWAY P.C. 130 W. CUSHING ST. TUCSON, AZ 85701 TEL: 520.882.7623 FAX. 520.882.7643

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Serial No. 09/612,551 Docket No. NEC WNZ-2212 Request for Refund

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I hereby certify that this correspondence is being deposited with the Suit of States Postal Service as First Class Mail in an envelope addressed to: MAIL STUP 16 Director it in U.S. Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450 on Oct. 231, 2003 at Tucson, Arizona.

By: Najat Mishalane

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)									
EXAMINER DATE CONSIDEREN September 16, 2003									

EXAMINER: Initial It citation considered, whether or not citation is in conformance with MPEP Section 609: Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

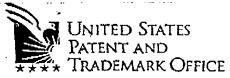
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